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PAT-010A

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

McIntosh, Jonathan P.

Serial No.: 10/043,868 ✓

Filed: November 1, 2001

For: AUTOMATED THIRD PARTY  
VERIFICATION SYSTEM

Group Art Unit:

Examiner:

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35

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### CERTIFICATE OF MAILING (37 CFR § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as "Express Mail Post Office to Addressee" is an envelope addressed to the Commissioner of Patents, Washington, DC 20231

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Angela D. Janke  
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February 5, 2002  
Date of Deposit

*Angela D. Janke*  
Signature of Person Mailing Paper

USC § 102 with respect to this invention unless specifically designated by Applicant as such.

**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

The fee due under 37 CFR § 1.17(p) is submitted herewith.

A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

**STATEMENT UNDER 37 CFR § 1.97(e):**

Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

A check in the amount of \$ \_\_\_\_\_ is enclosed for the above fee(s).

Please charge \_\_\_\_\_ to Deposit Account No. for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to West Corporation Deposit Account No. 50-1880.

Respectfully submitted,  
WEST CORPORATION

  
Rocco L. Adornato  
Reg. No. 40,480

Dated: February 5, 2002

By: \_\_\_\_\_



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PATENT & TRADEMARK OFFICE

WEST CORPORATION  
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Omaha, NE 68154

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

*Complete if Known*

Application Number	10/043,868
Filing Date	November 1, 2001
First Named Inventor	Jonathan P. McIntosh
Examiner Name	
Group Art Unit	

Attorney Docket No. PAT-010A

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
AA	5,987,155	A		Dunn et al.	11/16/1999	
AB	5,412,727	A		Drexler et al.	04/02/1995	
AC	6,011,858	A		Stock et al.	01/04/2000	
AD	Re. 36,580	A		Bogosian, Jr.	02/22/2000	
AE	4,752,676	A		Leonard et al.	06/21/1988	
AF	3,752,904	A		Waterbury	08/14/1973	
AG	5,581,630	A		Bonneau, Jr.	12/03/1996	
AH	4,993,068	A		Piosenka et al.	02/12/1991	
AI	6,084,967	A		Kennedy et al.	06/04/2000	
AJ	5,153,918	A		Tuai	10/06/1992	
AK	6,038,334	A		Hamid	03/14/2000	
AL	6,149,056	A		Stinson et al.	11/21/2000	
AM	5,513,250	A		McAllister	04/30/1996	
AN	6,167,517	A		Gilchrist et al.	12/26/2000	
AO	5,915,001	A		Uppaluru	06/22/1999	
AP	5,903,225	A		Schmitt et al.	05/11/1999	
AQ	5,638,430	A		Hogan et al.	6/10/1997	
AR	5,884,262	A		Wise et al.	3/16/1999	
AS	5,862,325	A		Reed et al.	1/19/1999	
AT	6,092,192	A		Kanevsky et al.	7/18/2000	

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BA	VoicePrint Security for Credit Cards, IBM Technical Disclosure Bulletin, Feb. 1994, pp. 189-190, Vol. 37, PubNo. 2B,	
	BB	"PriceInteractive Launches Most Reliable Anti-Slamming Service New Enhanced Third Party Verification™ Solutions Cuts Carrier Costs by More Than Half" PRNewswire/ via NewsEdge Corporation, July 1, 1999	

Examiner Signature	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.